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## PRACTICE AREAS

- Mergers and Acquisitions
- Securities

## EDUCATION

- J.D., University of Minnesota Law School
- B.A., Concordia College

## ADMISSION

- Utah State Bar
- South Dakota Bar
- Minnesota Bar
- U.S. District Court, District of Utah
- U.S. District Court, District of Minnesota
- 8th Circuit Court of Appeals
- 10th Circuit Court of Appeals
- U.S. Supreme Court

## ABOUT

Daniel Hill, a successful Utah Mergers and Acquisitions Attorney, brings a wealth of real-world business experience to his practice, which focuses primarily on investment and securities disputes, as well as business and corporate law matters.

Daniel has helped numerous businesses from startup status to regional, national and international status. His clients include closely held and vertically integrated clients involved in manufacturing, marketing, and financing. Other clients include SaaS companies, road reclamation and construction companies, iron and steel construction companies, clients with businesses that are open to the public, commercial real estate companies, financial advisors and East Indian suppliers of IT experts. He also represents a number of regional and national banks.

As well as being a lucrative business owner himself, and Senior Corporate Counsel for a publicly traded company doing business in 46 states, Daniel has represented clients from all sides of the legal table. He brings many years of a rounded, realistic and economically rational perspective to the practice of law.

Daniel is now General Counsel for a privately held company doing business in 50 states and multiple U.S. Territories. He remains Of Counsel with Snow, Christensen & Martineau, helping his clients in various business and corporate matters.

## PROFESSIONAL & CIVIC INVOLVEMENT

- Financial Industry Regulatory Authority - Arbitrator
- RIA/Financial Advisor auditor
- Utah Bar Association - Securities Law Section, Chair
- Minnesota Bar Association
- South Dakota Bar Association

## REPRESENTATIVE MATTERS

- Represented a seller of Utah membership interests to a South Korean entity with a transaction value of \$6 million.
- Represented a \$6 million sale of a Utah corporation's assets to a Minnesota corporation.
- Represented a seller of Minnesota LLC assets to a Minnesota corporation valued at \$8 million.
- Represented a seller of Minnesota corporation assets to a different Minnesota corporation with a transaction value of \$12 million.
- Represented a seller of Minnesota corporation assets to a Delaware corporation and Utah private equity firm. The transaction was valued at \$16 million.
- Currently working on seller of Utah membership interests to a Japanese entity.

## PRESENTATIONS & PUBLICATIONS

- Author, Commercial Financing in Utah: Legislative Change Coming, SCM blog post, April 2022
- Author, Consumer Lending Turmoil and The Economic Consequences of COVID-19, SCM blog post, August 2020
- Speaker, Could this Real Estate Investment be a Ponzi Scheme? Views on Fraud in Utah, Mid-Year Utah State Bar Conference, March 2018
- Author, Virtual Currency Update, SCM blog post, March 2018
- Author, Virtual Currencies 101, SCM blog post, March 2018
- Author, Financial Advisor and Broker Dealer Compliance in 2018, SCM blog post-January 2018
- Author, Financial Advisors Making Their Way Into the Modern World, SCM blog post, January 2018
- Speaker, Millennials, Millennial Investing and Robo-Advisors, Utah Financial Planning Association's Annual Symposium, September 2017
- Speaker, Social Media and Digital Messaging for Financial, Utah Financial Planning Association's Annual Symposium, September 2017
- Speaker, The Reptile Theory at Trial, The Harmonie Group, Vancouver, September 2017
- Speaker, DOL Fiduciary, Utah State Bar's Annual Securities Law Workshop, Salt Lake City, August 2017
- Author, One Financial Advisor's Cautionary Tale, SCM blog post, July 2017
- Speaker, Data Security, and Utah Professionals: The Legal and Ethical Obligations, Utah Valley University Business & Economic Forum, May 2017
- Author, DOL Fiduciary Rule Update, SCM blog post, May 2017
- Speaker, The Reptile Theory at Trial, The Harmonie Group, Boston, May 2017
- Author, Robo-Advisors: A Fast Growing Trend, SCM blog post, March 2017
- Author, Regulatory Reform and the Financial Services Industry, SCM blog post, February 2017
- Author, A Look Back (2016) and A Look Forward (2017) at FINRA Regulations, January 2017
- Author, New DOL Rule Already Here?, November 2016
- Author, Data Security Failures Lead to \$650,000 FINRA Fine and Censure, November 2016
- Speaker, Cyber Security Issues in the Financial Industry, Financial Planning Association – Utah Symposium, September 2016
- Co-author, Unwitting Accomplices: Employees and vendors—not hackers—pose greatest data breach threat, Utah Business Magazine, July 2016
- Speaker, Cyber Security: Emerging Risks and Trends, Utah Valley University Business & Economic Forum, May 2016
- Author, Digital Investment Advice Tools, April 2016
- Author, HB251, March 2016
- Author, AML Policies – Are You At Risk?, February 2016
- Interview, Why Your Financial Advisor Doesn't Return Your Texts, Forbes.com article by Nancy Anderson, December 2015
- Speaker, How to Minimize the Risk of Being Sued by Your Client, Financial Planning Association BE Annual Conference, September 2015
- Speaker, The SEC's Cyber Security Initiative: What It Means for Compliance, National Financial Planning Association Conference, Boston, September 2015
- Speaker, How to Minimize the Risk of Being Sued by Your Client, Utah Financial Planning Association Annual Symposium, Salt Lake City, September 2015
- Speaker, Operation Choke Point, Utah State Bar Annual Securities Conference, August 2015
- Author, Overbroad Confidentiality Provisions in NDAs, Employment Agreements and Settlement Agreements, July 2015
- Author, Proposed IRA Examination Legislation, June 2015
- Author, Proposed FINRA Rule 2272 – Sale of Securities to Members of the Armed Services and Their Dependents, Financial Planning Association, June 2015
- Author, Joint Securities, and Insurance Licenses, Financial Planning Association, April 2015
- Author, SEC/FINRA Focus on Sale of VAs to Seniors, Financial Planning Association, April 2015
- Author, Utah Financial Capability Survey Results (via FINRA), Financial Planning Association, April 2015
- Author, DOE v Fox Financial (BD supervision requirements for RIAs), Financial Planning Association, March 2015
- Author, Use of Consolidated Reports, Financial Planning Association, March 2015
- Interview, IRA Rollovers, Forbes.com, author Nancy Anderson, February 2015
- Speaker, IRA Rollovers, Financial Planning Association of Utah, January 2015
- Author, New Background Check Rule, Utah Financial Planning Association, January 2015

- Speaker, Guidelines of Social Media, Utah Financial Planning Association Symposium, 2014
- Author, One Bad Apple Can Spoil the Barrel, Utah Financial Planning Association, September 2014
- Author, Variable Annuities Update, Utah Financial Planning Association, October 2014
- Author, IRA Rollovers Subject to Increasing Regulatory Scrutiny, Utah Financial Planning Association, November 2014
- Author, Inspection of Branch Offices, Utah Financial Planning Association, December 2014
- Speaker, Use of Social Media by Investment Advisors, Utah Securities, and Investment Conference, 2013
- Author, Social Media and Investment Advisers, Utah Business, March 2013